CIS 500: Software Foundations

Final Exam

December 16, 2021

My signature below certifies that I have complied with the University of Pennsylvania's Code of Academic Integrity in completing this examination.

Signature:	Date:	
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Directions:

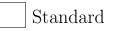
• This exam contains both standard and advanced-track questions. Questions with no annotation are for *both* tracks. Questions for just one of the tracks are marked "Standard Track Only" or "Advanced Track Only."

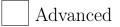
Do not waste time or confuse the graders by answering questions intended for the other track.

To make sure, please find the questions for the other track as soon as you begin the exam and cross them out!

• Before beginning the exam, please write your random 4-digit number (*not* your name or PennKey!) at the top of each even-numbered page, so that we can find things if a staple fails.

Mark the box of the track you are following.





1	[Stand	ard Track Or	nly]	Miscellaneous (16 points)
1.1	The type True in Coq is inhabited by the single value true.			
		True		False
1.2	The typ	oe bool->False	in	Coq is uninhabited.
		True		False
1.3	The ter	rm (fun P => F	> \/	~P) False in Coq has type Prop.
		True		False
1.4				cripts for Coq need to be careful that their scripts do not diverge, consistency in Coq's logic.
		True		False
1.5	for all a	-	nen 1	and c2 are equivalent (that is, st =[c1]=> st' iff st =[c2]=> st' they also validate the same Hoare triples (that is, $\{\{P\}\}c1\{\{Q\}\}\}$ iff d Q).
		True		False
1.6	Convers		o coi	nmands validate the same Hoare triples, then they are equivalent.
		True		False
1.7		ry b : bexp ar		1, c2 : com, either the command if b then c1 else c2 is equivent to c2.
		True		False
1.8				of programs in STLC $+$ Fix can naturally be expressed in Coq as ion or a Fixpoint.
		True		False

2 Inductive relations (12 points)

Two lists are "equivalent modulo stuttering" if compressing sequences of repeated elements into a single element (e.g., compressing [1;1;2;3;3;3] into [1;2;3]) makes them identical.

For example:

```
equiv_mod_stuttering [1;2;2;2] [1;1;1;2;2].
equiv_mod_stuttering ([] : list nat) ([] : list nat).
~ (equiv_mod_stuttering [1] [2]).
~ (equiv_mod_stuttering [1] [1;2]).
```

(The list nat annotations in the second example are there to help type inference.) Update: Another good example we noticed during the exam:

And we should have included an example underscoring the fact that ordering is still important.

Complete the inductive definition of equiv_modulo_stuttering:

```
Inductive equiv_mod_stuttering {X : Type} : list X -> list X -> Prop :=
```

3 Program equivalence in Imp (14 points)

Recall that two Imp commands c1 and c2 are said to be *equivalent* when $st = [c1] \Rightarrow st'$ iff $st = [c2] \Rightarrow st'$, for all st and st'.

Choose True or False for the following claims (and give counterexamples as appropriates).

3.1 If c always diverges (that is, there are no st and st' such that st =[c]=> st'), then c is equivalent to c;c.

 \Box True \Box False

If you chose False, give a counterexample (a command c that always diverges but such that c is not equivalent to c;c):

3.2 Conversely, if c is equivalent to c;c, then c always diverges.

 \Box True \Box False

If you chose False, give a counterexample:

 3.3
 If then c is constant (i.e., it always leaves the state unchanged), then c is equivalent to c;c.

 □
 True
 □

 False
 □

If you chose False, give a counterexample (a command c that is constant but such that c is not equivalent to c;c):

3.4 Conversely, if c is equivalent to c;c, then c is constant.

 \Box True \Box False

If you chose False, give a counterexample:

3.5 If there is some state st' in the *range* of c such that c fails to terminate when started in state st' (that is, st =[c]=> st' for some starting state st but there is no st'' such that st' =[c]=> st''), then c is *not* equivalent to c;c.

 \Box True \Box False

If you chose False, give a counterexample:

[4] [Advanced Track Only] Program equivalence in Imp, continued (8 points)

State the conditions under which c is equivalent to c;c. That is, give *necessary and sufficient* conditions on c that guarantee c is equivalent to c;c.

5 Stlc with iteration (14 points)

The Simply Typed Lambda-Calculus with fixpoints allows general recursion—that is, terms involving fix may diverge. If we want to avoid divergent terms while still expressing many computations involving numbers, we can introduce a bounded **iter** combinator.

Iter takes a function $f : T \rightarrow T$, a number n : Nat that controls how many times the function is executed, and an initial value for an "accumulator" a : T. Every step of the loop, it decrements n and calls f to update the accumulator, stopping after n becomes 0.

```
Inductive tm: Type :=
| tm_abs (x: string) (p: ty) (body: tm)
| tm_app (e1: tm) (e2: tm)
| tm_succ (e: tm)
| tm_const (n: nat)
| tm_var (x: string)
(* NEW *)
| tm_iter (f: tm) (n: tm) (a: tm).
```

Here is an example of using iter to define addition of two natural numbers.

```
Definition add_f(a b: tm) :=
    <{ iter (\acc: Nat, succ acc) a b }>.
Hint Unfold add_f: core.
Example add_ex1: add_f <{ 3 }> <{ 5 }> -->* <{ 8 }>.
```

5.1 First, let's practice using iter. Define an apply_n function that takes as argument a function f : Nat -> Nat and a starting value n : Nat and composes f with itself n times. For example, apply_n f 4 1 should yield f (f (f (f 1))), while apply_n f 0 1 should yield 1.

Definition apply_n (f : tm) (n : tm) :=

(N.b.: This part was a bit confusing, technically (it's defining an STLC function as if it were a Coq function). We decided during the exam to just skip it.)

5.2 Now that you've got the hang of it, let's extend the call-by-value operational semantics of STLC with appropriate rules for iter. Note that the evaluation order of the arguments to iter are from left to right, ie: f evaluates first, then n and finally a.

```
Inductive step : tm -> tm -> Prop :=
| ST_AppAbs : forall x T2 t1 v2,
    value v2 ->
    <{(\x:T2, t1) v2}> --> <{ [x:=v2]t1 }>
| ST_App1 : forall t1 t1' t2,
    t1 --> t1' ->
    \{t1 \ t2\} > = > <\{t1' \ t2\} >
| ST_App2 : forall v1 t2 t2',
    value v1 ->
    t2 --> t2' ->
    < v1 t2 > --> < v1 t2' >
| ST_Succ1: forall e e',
    e --> e' ->
    <{ succ e }> --> <{ succ e' }>
| ST_Succ2: forall (n: nat),
    <{ succ n }> --> <{ {S n} }>
(* FILL IN HERE *)
```

5.3 Finally, give a typing rule for iter. Both examples above (add_f and apply_n) should be well-typed.

```
Inductive has_type : context -> tm -> ty -> Prop :=
  | T_Var : forall Gamma x T1,
      Gamma x = Some T1 \rightarrow
      Gamma |-x \setminus in T1
  | T_Abs : forall Gamma x T1 T2 t1,
      x |-> T2 ; Gamma |- t1 \in T1 ->
      Gamma |- \x:T2, t1 \in (T2 \rightarrow T1)
  | T_App : forall T1 T2 Gamma t1 t2,
      Gamma |- t1 \in (T2 -> T1) ->
      Gamma |- t2 \in T2 ->
      Gamma |- t1 t2 \in T1
  | T_Succ: forall Gamma n,
      Gamma |- succ n \in Nat
  | T_Const: forall Gamma (n: nat),
      Gamma |-n \setminus in Nat
(* FILL IN HERE *)
```

6 Hoare logic (12 points)

In this problem we'll consider several Hoare triples, {{P}}c{{Q}}. For each one, you are asked to choose either "Valid" or else the best description of its "degree of invalidity" from among the following:

- "Inv at least once": Invalid at least once—i.e., there exists a state satisfying P such that, when started from this state, the command c will terminate in a state *not* satisfying Q. In this case, provide a pair of states, one that satisfies the triple and one that does not.
- "Inv when terminating": Always invalid mod termination—i.e., when started from *any* state satisfying P, the command c will either diverge or terminate in a state not satisfying Q. Provide a pair of states, one that diverges and one for which Q is not satisfied.
- "Inv always": Always invalid—i.e., when started from any state satisfying P, the command c will *definitely terminate* in a state not satisfying Q.

"Best description" means the strongest description that applies—i.e., "Inv always" is better than "Inv when terminating," which is stronger than "Inv at least once".

```
[6.1] {{ True }}
if X = 1 then
Y := 0
else
Y := 1
{{ X = Y }}
□ Valid □ Inv at least once □ Inv when terminating □ Inv always
If necessary provide a pair of states to justify your answer:
```

```
6.2 {{ X=0 }}
while X=0 do Y := Y+1
{{ False }}
```

```
\Box Valid \Box Inv at least once \Box Inv when terminating \Box Inv always
If necessary provide a pair of states to justify your answer:
```

 6.4
 {{ True }}

 while X > 10 do X := X + 1;

 {{ False }}

 □
 Valid
 □
 Inv at least once
 □
 Inv when terminating
 □
 Inv always

If necessary provide a pair of states to justify your answer:

[7] [Standard Track Only] Loop invariants (8 points)

For each pair of Hoare triple and proposed loop invariant Inv, your job is to decide whether Inv can be used to prove a Hoare triple of this form:

{{ P }} while b do c end {{ Q }}

Specifically, you should decide whether Inv satisfies each of the three specific constraints from the Hoare rule for while:

(1) Implied by precondition: P →> Inv
(2) Preserved by loop body (when loop guard true): {{ Inv /\ b }} c {{ Inv }}
(3) Implies postcondition (when loop guard false): (Inv /\ ~ b) →> Q

We call them "Implied by Pre," "Preserved," and "Implies Post" below, for brevity.

7.1
 {{ X=m /\ Y=n }}
 while Y<>0 do
 X ::= X + 1;
 Y ::= Y - 1
 end
 {{ X = m+n }}

	Proposed Inv	Implied by Pre	Preserved	Implies Post
	X > 0			
	X = m+n			
	X = m + n - Y			
7.2	<pre>{{ X = Y }} while true do X ::= X * Y end {{ X = Y * 37 }}</pre>			
	Proposed Inv	Implied by Pre	Preserved	Implies Post
	X <> 0			
	exists (m : nat), $X = Y + m$			
	True			

8 Big-step vs. Small-step (6 points)

Briefly explain the difference between big-step and small-step styles of operational semantics. What are the advantages of each style?

9 **Observational equivalence of STLC terms** (16 points)

Consider the simply typed lambda-calculus (page 1 in the handout) with booleans.

Suppose t is a closed term. We say that a list of closed terms [a1; ...; an] saturates t if I-t a1 a2 ... an \in Bool. (Update: Note that, although saturating argument lists look like Cog lists, their elements do NOT need to all have the same STLC type.)

Suppose s and t are terms of the same type. We say that s and t are observationally equivalent if, for every list of terms [a1; ..., an] that saturates both s and t, we have s a1 ... an -->* true iff t a1 ... an -->* true.

For example, x:Bool, x is observationally equivalent to x:Bool, (y:Bool, y) x, because they yield the same result when applied to either of the two possible saturating argument lists, [true] and [false].

For each of the following pairs of terms, check "Equivalent" if they are observationally equivalent and "Inequivalent" if not. In the latter case, give a saturating list of arguments on which they yield different boolean results.

9.1 x:Bool, true and <math>x:Bool, false

 \Box Equivalent \Box Inequivalent

If "Inequivalent," provide a saturating list of arguments on which the terms give different results:

9.2 x:Bool, x and x:Bool, true

 \Box Equivalent \Box Inequivalent

If "Inequivalent," provide a saturating list of arguments on which the terms give different results:

9.3 x:Bool, y:Bool, x and x:Bool, y:Bool, y

 \Box Equivalent \Box Inequivalent

If "Inequivalent," provide a saturating list of arguments on which the terms give different results:

9.4 \x:Bool->Bool, x and \x:Bool->Bool, \y:Bool, x y

 \Box Equivalent \Box Inequivalent

If "Inequivalent," provide a saturating list of arguments on which the terms give different results:

9.5 \x:Bool->Bool, \y:Bool, x y and \x:Bool->Bool, \forall :Bool, x true

 \Box Equivalent \Box Inequivalent

If "Inequivalent," provide a saturating list of arguments on which the terms give different results:

9.6 \x:Bool->Bool, \y:Bool, x y and x:Bool->Bool, y:Bool, x (x y)

 \Box Equivalent \Box Inequivalent

If "Inequivalent," provide a saturating list of arguments on which the terms give different results:

9.7 true and false

 \Box Equivalent \Box Inequivalent

If "Inequivalent," provide a saturating list of arguments on which the terms give different results:

[10] [Advanced Track Only] Preservation for STLC with sums (informal proof) (16 points)

The definition of the STLC extended with binary sum types, booleans, and Unit can be found on page 3 of the accompanying reference sheet.

Fill in the missing cases below of the proof that reduction preserves types (that is, the cases for T_Inl and T_Case). Use full, grammatical sentences, and make sure to state any induction hypotheses *explicitly*.

You may refer to the usual *substitution lemma* without proof. (It is repeated on page 2 of the handout, for reference.)

Theorem (Preservation): If $|-t \in T$ and $t \rightarrow t'$, then $|-t' \in T$.

Proof: By induction on a derivation of $|-t \in T$.

- We can immediately rule out T_Var, T_Abs, T_TRue, T_False, and T_Unit as final rules in the derivation, since in each of these cases t cannot take a step.
- The cases for T_App, T_If, and T_Inr are omitted.
- If the final rule in the derivation of |- t \in T is T_Inl, then... (the rest is for you to fill in)

Space is provided for T_Case on the next page.

• If the final rule in the derivation of $|\mbox{-t}\\mbox{tin T}\$ is T_Case, then...

11 Subtyping (14 points)

The setting for this problem is the simply typed lambda-calculus with booleans, products, and subtyping (see page 9 in the handout).

11.1 Suppose $t = (\langle x: Bool, (x, x) \rangle$

Check all the types T such that $|-t \in T$ (or "Not typeable"). Update: (You should select "Some other type(s)," even though you have already selected some options above it, if the term has more types than what are listed.)

Bool -> (Top*Top)
Bool -> (Bool*Bool)
Top -> (Bool*Bool) (Corrected from an earlier answer key)
Top -> Top
Top
Some other type(s)
Not typeable

- 11.2 Which is the minimal type T such that $|-t \in T$ (or check "Not typeable"): (Update: The "minimal type" of a term is the smallest (in the sense of the subtype relation) type possessed by that term.
 - □ Bool -> (Top*Top)
 □ Bool -> (Bool*Bool)
 □ Top -> (Bool*Bool)
 □ Top -> Top
 □ Top
 □ Some other type(s)
 - \Box Not typeable

11.3 Suppose t = (\x:Bool, \y:Top->Bool, y x) true

Check all the types T such that |- t \in T (or "Not typeable"):

- □ (Top->Bool) -> Top
- \Box (Bool->Bool) -> Bool (Corrected from an earlier answer key)
- □ (Top->Top) -> Top
- \Box Some other type(s)
- \Box Not typeable
- 11.4 Which is the *minimal* type T such that $|-t \in T$ (or check "Not typeable"):
 - □ (Top->Bool) -> Top
 - □ (Bool->Bool) -> Bool
 - □ (Top->Top) -> Top
 - \Box Some other type(s)
 - \Box Not typeable

 11.5
 Are there any types T and U such that x:T |- (\x:T. x x) \in U?

 □
 Yes
 □

 □
 Yes
 □

 If so, give one.
 T =

 U =
 U

11.6 Does the subtype relation contain an infinite, strictly descending chain — that is, is there is an infinite sequence of types T_1 , T_2 , T_3 , ... such that, for each i, we have $T_{i+1} <: T_i$ but not $T_i <: T_{i+1}$?

 \Box Yes \Box No

If you chose "Yes," then show to construct such a chain by giving its first four elements.

 $T_1 =$ $T_2 =$ $T_3 =$ $T_4 =$

12 **References** (8 points)

The simply typed lambda-calculus with references is summarized on page 5 of the accompanying handout.

Recall (from References.v) that the preservation theorem for this calculus is stated like this

```
Theorem preservation_theorem := forall ST t t' T st st',
empty ; ST |- t \in T ->
store_well_typed ST st ->
t / st --> t' / st' ->
exists ST',
extends ST' ST /\
empty ; ST' |- t' \in T /\
store_well_typed ST' st'.
```

where:

- st and st' are *stores* (maps from locations to values);
- ST and ST' are *store typings* (maps from store locations to types);
- empty ; ST |- t \in T means that the closed term t has type T under the store typing ST;
- t / st --> t' / st' means that, starting with the store st, the term t steps to t' and changes the store to st';
- store_well_typed ST st means that the contents of each location in the store st has the type associated with this location in ST; and
- extends ST' ST means that the domain of ST is a subset of that of ST' and that they agree on the types of common locations.

Briefly explain why the existential quantifier is needed in the statement of the preservation theorem. I.e., what would go wrong if we stated the theorem like this?

```
Theorem preservation_wrong2 : forall ST T t st t' st',
empty ; ST |- t \in T ->
t / st --> t' / st' ->
store_well_typed ST st ->
empty ; ST |- t' \in T.
```

(Use the next page for your answer.)

(Use this page for your answer.)

For Reference

Simply Typed Lambda Calculus with Booleans and Unit

Syntax:

T ::= T -> T	arrow type
Bool	boolean type
Unit	unit type
<pre>t ::= x \x:T,t t t true false if t then t else t unit</pre>	variable abstraction application true false conditional unit value

Values:

v ::= \x:T,t | true | false | unit

Substitution:

[x:=s]x= s [x:=s]y = y if x <> y $= \begin{cases} y \\ x:T, t \\ = y:T, [x:=s]t \end{cases}$ [x:=s](\x:T, t) [x:=s](\y:T, t) if x <> y = ([x:=s]t1) ([x:=s]t2) [x:=s](t1 t2) [x:=s]true = true [x:=s]false = false [x:=s](if t1 then t2 else t3) = if [x:=s]t1 then [x:=s]t2 else [x:=s]t3[x:=s]unit = unit

Small-step operational semantics:

value v2	(ST_AppAbs)
(\x:T2,t1) v2> [x:=v2]t1	(br_nppnbb)
t1> t1'	
t1 t2> t1' t2	(ST_App1)

value v1 t2> t2' v1 t2> v1 t2'	(ST_App2)
(if true then t1 else t2) $>$ t1	(ST_IfTrue)
(if false then t1 else t2)> t2	(ST_IfFalse)
t1> t1' (if t1 then t2 else t3)> (if t1' then t2 else t3)	(ST_If)

Typing:

$\begin{array}{rcl} \text{Gamma } x &= & \text{T1} \\ \hline & & \\ \text{Gamma } & - & x \\ \end{array}$	(T_Var)
x -> T2 ; Gamma - t1 \in T1	(T_Abs)
Gamma - \x:T2,t1 \in T2->T1	
Gamma - t1 \in T2->T1 Gamma - t2 \in T2 Gamma - t1 t2 \in T1	(T_App)
Gamma - true \in Bool	(T_True)
Gamma - false \in Bool	(T_False)
Gamma - t1 \in Bool Gamma - t2 \in T1 Gamma - t3 \in T1	(T_If)
Gamma - if t1 then t2 else t3 $in T1$	
Gamma - unit \in Unit	(T_Unit)
<pre>Lemma substitution_preserves_typing : forall Gamma x U t v T, x -> U ; Gamma - t \in T -> - v \in U -></pre>	

Sum Types

(Based on the STLC with booleans and Unit.)

Syntax:

```
T ::= ...

| T + T sum type

t ::= ...

| inl T v tagged value (left)

| inr T v tagged value (right)

| case t of case

inl x => t

| inr x => t

Values:
```

```
v ::= ...
| inl v
| inr v
```

Substitution:

Small-step operational semantics:

(ST_Inl)
(51_111)
(ST Inr)
(ST_Case)
(ST_CaseInl)
(ST_CaseInr)

Typing:

Gamma - t1 \in T1	(T_Inl)
Gamma - inl T2 t1 \in T1 + T2	(1_1111)
Gamma - t2 \in T2	(T_Inr)
Gamma - inr T1 t2 \in T1 + T2	(1_111)
Gamma - t0 \in T1+T2 $x1 $ ->T1; Gamma - t1 \in T3	
x2 ->T2; Gamma - t2 \in T3	(T Case)
Gamma - case t0 of inl x1 => t1 inr x2 => t2 $\ T3$	(I_Case)

References

(Based on the STLC with booleans and Unit.)

Syntax:

T ::= Ref T	Ref type
t ::= ref t !t t := t 1	allocation dereference assignment location
v ::= l	location

Substitution:

[x:=s](ref t)	= ref ([x:=s]t)
[x:=s](!t)	= ! ([x:=s]t)
[x:=s](t1 := t2)	= ([x:=s]t1) := ([x:=s]t2)
[x:=s]1	= 1

Small-step operational semantics:

value v2 _____ (ST_AppAbs) (\x:T2.t1) v2 / st --> [x:=v2]t1 / st t1 / st --> t1' / st' (ST_App1) ----t1 t2 / st --> t1' t2 / st' value v1 t2 / st --> t2' / st' (ST_App2) _____ v1 t2 / st --> v1 t2' / st' t1 / st --> t1' / st' (ST_Deref) _____ !t1 / st --> !t1' / st' 1 < |st| ----- (ST_DerefLoc) !(loc l) / st --> lookup l st / st t1 / st --> t1' / st' (ST_Assign1) ----t1 := t2 / st --> t1' := t2 / st' t2 / st --> t2' / st' -----(ST_Assign2) v1 := t2 / st --> v1 := t2' / st'

1 < |st|

loc l := v / st> unit / [l:=v]st	(ST_Assign)
t1 / st> t1' / st' ref t1 / st> ref t1' / st'	(ST_Ref)
ref v / st> loc st / st,v	(ST_RefValue)

Typing:

1 < ST	(T Loc)
Gamma; ST - loc l : Ref (lookup l ST)	(1_L0C)
Gamma; ST - t1 : T1 Gamma; ST - ref t1 : Ref T1	(T_Ref)
Gamma; ST - t1 : Ref T1 Gamma; ST - !t1 : T1	(T_Deref)
Gamma; ST - t1 : Ref T2 Gamma; ST - t2 : T2 Gamma; ST - t1 := t2 : Unit	(T_Assign)

Products

(Based on the STLC with Booleans and Unit.)

Syntax:

t ::=	Terms
 (t,t) t.fst t.snd	pair first projection second projection
v ::=	Values
 (v,v)	pair value
T ::=	Types
 T * T	product type

Small-step operational semantics:

t1> t1'	(ST_Pair1)
(t1,t2)> (t1',t2)	(01_1 011 1)
t2> t2'	(ST_Pair2)
(v1,t2)> (v1,t2')	
t1> t1'	(ST_Fst1)
t1.fst> t1'.fst	
 (v1,v2).fst> v1	(ST_FstPair)
t1> t1'	
t1.snd> t1'.snd	(ST_Snd1)
	(CT Cr dDair)
(v1,v2).snd> v2	(ST_SndPair)

Typing:

Gamma - t1 $in T1$ Gamma - t2 $in T2$	(T_Pair)
Gamma - (t1,t2) \in T1*T2	(1_Fall)
Gamma - t0 \in T1*T2 Gamma - t0.fst \in T1	(T_Fst)
Gamma - t0 \in T1*T2	(T_Snd)
Gamma - t0.snd \in T2	(1_blid)

Subtyping

(Based on the STLC with Booleans, Unit, and Products.)

Syntax:

T ::=	Types	
 Top	top type	

Subtyping:

S <: U U <: T S <: T	(S_Trans)
 T <: T	(S_Refl)
 S <: Top	(S_Top)
S1 <: T1 S2 <: T2 S1 * S2 <: T1 * T2	(S_Prod)
T1 <: S1 S2 <: T2 S1 -> S2 <: T1 -> T2	(S_Arrow)
S1 <: T1 S2 <: T2 	(S_Prod)

Typing:

Gamma	- t1	∖in T1	. T1	<: T2	
	Camma	 _ +1	\in T2		(T_Sub)
	Gaillia	1- 01	\111 12		